Improving the Mechanical Properties of 304 Stainless Steel Using Waterjet Peening

Yun ZOU, Zhenkuan SANG, Qilong WANG, Tingchao LI, Dalei LI, Yang LI*

School of Mechanical Engineering, Zhengzhou University, Zhengzhou 450001, P R China

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In this study, waterjet peening (WJP) treatments under different water pressures were utilized to improve the mechanical properties of 304 stainless steel. The surface morphologies, microstructures, phases, and mechanical properties under different pressures in the WJP process were systematically investigated. The results show that WJP treatments successfully introduced a hardening layer and residual compressive stress. The optimal hardening layer, hardness, residual compressive stress, tensile strength, and ductility were all recorded at the pressure of 200 MPa. The improved hardness, tensile strength, and ductility of 304 stainless steel treated with WJP treatments at the pressure of 200 MPa can be attributed to the hardening layer with much apparent grain refinement effect, phase transformation, smaller surface roughness, and a specific residual compressive stress, as compared with the WJP treatments under other water pressures. *Keywords:* 304 stainless steel, waterjet peening, microstructure, mechanical properties.

1. INTRODUCTION

In general, the material failure occurs on the material surface. Therefore, the improvement of material properties depends on its surface properties [1]. In recent years, the use of surface deformation strengthening methods to generate beneficial microstructure and residual stress changes to induce property enhancement has made many achievements, such as the widespread use of shot peening (SP) [2], laser shot peening (LSP) [3, 4], surface mechanical attrition treatment (SMAT) [5], surface mechanical grinding treatment (SMGT) [6], and ultrasonic nanocrystal surface modification (UNSM) [7-9]. The surface deformation strengthening technology is mainly through a certain mechanical means to make the material surface occur a certain degree of plastic deformation, lead the surface layer of the material to form a certain depth of the deformation layer, and then improve the material properties [3].

Waterjet peening (WJP) is a metal strengthening technology based on surface deformation strengthening mechanism. It is a mechanical surface strengthening process where high-frequent impact of water drops on the surface of metal material, which causes local plastic deformation below the recrystallization temperature. As a result, the material surface forms a certain depth of deformation layer, which leads to the enhanced surface hardness and fatigue life of materials by introducing compressive residual stresses [10].

Arola et al. [11-13] employed abrasive waterjet peening to treat titanium alloy Ti6Al4V, pure titanium cpTi, and AISI304 stainless steel, respectively. They obtained the variation law of the surface residual compressive stress, roughness, and stress concentration coefficient with WJP process parameters such as pressure and abrasive size also proved the endurance strength of the Ti6Al4V was increased from 680 MPa to 875 MPa (25 % increase) under the optimum treatment conditions.

Azhari et al. [10, 14] studied the effects of WJP process parameters, e.g. pressure, speed, and multi-nozzle on the surface state of 304 stainless steel, and they obtained the depth of the hardened layer under different WJP conditions by determining the hardness in the depth direction. They also studied multiple steps in WJP treatment and then proved that the combined action of surface hardening and smoothing using numerous steps in WJP treatment is useful in increasing the hardness and reducing the roughness of the surface.

Kunaporn et al. [15, 16] used pure high-pressure waterjet to strengthen the aluminum alloy and studied the effects of three types of nozzle structures on the surface state of the material. They proved that waterjet could improve the fatigue strength and delay the crack by the fatigue test. They also showed that materials with higher tensile strength tended to have greater fatigue benefit from peening process.

Compared with other surface deformation strengthening technology methods such as SP, LSP, SMAT, SMGT, and UNSM, WJP could be used to process samples with complexed shapes and achieve good surface finish due to the easily controllable processing parameters, which has great potential applications in various industrial fields [17, 18].

At present, the researches on WJP mainly focused on surface fatigue properties and fatigue crack extension. However, researches on the surface microstructures and tensile properties treated by WJP are rarely studied. In this paper, the effects of WJP treatments under different water pressures on the surface morphologies, microstructures, phases, and mechanical properties of 304 stainless steel were systematically investigated.

^{*} Corresponding author. Tel.: +86-0371-67781752.

E-mail address:yangli@zzu.edu.cn (Y. LI)

2. EXPERIMENTAL DETAILS

2.1. Materials

For the current study, 304 stainless steel with the measured chemical composition of 0.068 C, 0.58 Si, 0.71 Mn, 0.024 P, 0.004 S, 18.20 Cr, 8.45 Ni, and balance Fe (in wt.%), was used. To obtain the austenite coarse grain structure, a solution treatment was performed at 1363 K for 60 min, followed by water quenching. The solution-treated specimens were abraded with SiC papers, followed by ultrasonic cleaning in acetone for 15 min to remove soil and/or grease, to obtain an original average surface roughness *R*a of 0.25 μ m.

2.2. WJP surface modification experiments

The WJP surface treatment experiments were carried out on the DWJ1525-FC waterjet machine, which is capable of generating pressure from 80 MPa to 350 MPa. The nozzle geometry used in this experiment is a round one with a diameter of 1.0 mm, and the nozzle moving speed is less than 8 m/min. The incidence angle was set at 90°, i.e., the nozzle was perpendicular to the specimen surface. The medium was pure water without the use of abrasives to obtain surfaces free of embedded abrasive particles. The chosen WJP strengthening parameters were based on our preliminary four factors four levels orthogonal experiment (L16) with parameters of water pressure (150, 200, 250, 300 MPa), target distance (5, 10, 15, 20 mm), speed (400, 600, 800, 1000 mm/min), and feed (0.125, 0.25, 0.5, 1.0 mm). The results showed that the sequence of the four factors for the surface residual stress, surface hardness, and surface roughness was: water pressure \approx feed > speed > target distance. Thus, we chose the water pressure as the only variable to evaluate the effect of water pressure on the water jet strengthening behaviors. The water pressure is 100, 150, 200, 250, 300 MPa. The rest of the parameters were constant, that is, the speed 400 mm/min, target distance 15 mm, feed 0.25 mm. Besides, we also prepared the corresponding untreated specimen for comparison.

2.3. Characterizations

The microstructures were analyzed using the optical microscopy (OM) and scanning electron microscopy (SEM, Zeiss Auriga Compact). The XRD analysis was obtained via X-ray diffraction equipment (Ultima IV) using Cu-Ka radiation. The generator settings were 40 kV and 30 mA, the diffraction data were collected over a 2 θ range of $40 - 80^{\circ}$, with a step width of 0.02° and a scan speed of 8° per minute. The 3D surface topographies and surface roughness (Ra) of the materials were measured by NPFLE 3D surface topography system (Bruker Nano Inc). A measurement area of about $2529 \times 1897 \ \mu m^2$ was covered. Light and dark colors indicated peak and valley regions, respectively. The surface roughness (Ra) is an average of at least five roughness values. To reveal the subsurface microstructure, the samples were metallographically grinding and polishing, followed by etching in aqua regia.

The magnitude of the induced residual compressive stress of the material surface before and after WJP treatment was conducted with Proto LDR standard high-speed X-ray residual stress analyzer (PROTO) using Mn-K α radiation and the XRD system was calibrated by a stress-free sample. The roll method was selected in the test, and the fixed angle ψ angle is used in the scanning mode, the ψ angle is 0°, 11.8°, -11.8°, 17.48°, -17.48°, 25°, -25°, an average of at least five residual compressive stresses data was recorded.

The distribution of hardness along the WJP surface to the base of the specimens was measured using HV-1000 hardness tester with a force of 100 g using a diamond pyramid indenter for 15 s. An average of at least five hardness data was recorded at every depth.

Tension tests were performed on an MTS material tester (MTS 370.02) with a strain rate of 1×10^{-3} s-1 at room temperature. An extensometer was applied during the tests. The gauge length, width, and thickness of the tensile specimens are 12.5, 5, and 2 mm, respectively. Both of the two opposite faces of the gauge area were processed by WJP treatments, where it may experience the highest stress concentration.

3. RESULTS AND DISCUSSION

3.1. Surface morphology, microstructures, and phase analysis

3.1.1. Surface morphology

Fig. 1 shows the 3D surface topographies of specimens treated under different pressures, a much more severe erosion can be seen after treatment with higher pressure.

It is well-known that the water supply pressure is directly proportional to the impingement velocity of the water droplet [10], that is, the kinetic energy of the water molecules increases with a higher water pressure. It can be deduced that the more severe impact on the surface of the material will cause the more severe plastic deformation, resulting in more severe stress concentration, which will make the surface of material produce many micro-cracks, finally, some micro-cracks will remove the wrapped material. Therefore, the higher pressure will increase the ability to remove the material.

Fig. 2 shows the effect of pressure on the surface roughness of the specimens, where the surface roughness Ra is the arithmetic mean roughness value. It can be seen that the surface roughness almost keeps the same value from 0 (original) to 200 MPa, while the surface roughness suddenly increases when the pressure exceeds 200 MPa, and then increases continuously until it reaches its maximum value of 19.6 µm at 300 MPa. That is, the higher pressure will improve the ability to remove the material and then increase the surface roughness of the material.

3.1.2. Microstructures and phase analysis

Fig. 3 shows the cross-sectional microstructures of the specimens treated under 0 (original), 150, 200, and 250 MPa. It can be seen from the figure that the average grain size of the original material is about 65 μ m (Fig. 3 a), and the cross-sectional microstructure of WJP specimen has no change at the pressure of 150 MPa (Fig. 3 b), as compared with the original specimen. It can infer that the cross-sectional microstructure of the specimen under 100 MPa pressure has no change.



Fig. 1. The 3D surface topographies of specimens treated under different pressures: a – 0 MPa; b – 100 MPa; c – 150 MPa; d – 200 MPa; e – 250 MPa; f – 300 MPa



Fig. 2. The effect of pressure on the surface roughness Ra of the specimens

However, there is about 80 µm deformation layer in the cross-section of the WJP specimen at the pressure of 200 MPa (Fig. 3 c), and the near-surface grain was significantly refined after the WJP treatments. Severe erosion occurs at the upper edge of the picture of WJP specimen at the pressure of 250 MPa (Fig. 3d), which is in agreement with the 3D surface topographies and surface roughness measurement results. It can infer that the erosion at 300 MPa pressure is more dangerous. Fig. 4 shows the surface microstructures of the specimens treated under 150, 200 and 250 MPa taken by SEM, and the average grain size was statistical analysis by the intercept method using Image J software. The average grain size of the surface layer of the WJP area under 150 MPa is about 65 µm (Fig. 4 a). That is to say, there is little change in the surface microstructures of WJP specimen at the pressure of 150 MPa, as compared with the original specimen (Fig. 3 a). The surface layer average grain size of the specimen under 200 MPa is about $6 \mu m$ (Fig. 4 b), decreasing by 90.7 %, as compared to that of the original specimen. While the grain boundaries of the specimen under 250 MPa become blurred and there is severe erosion (Fig. 4 c). That is, when the pressure is too high, an increase in jet pressure promotes growth in the jet energy available for hydrodynamic erosion.

It can be deduced that the optimized conditions of WJP can refine grain size by observing the microstructures of the specimens. Many researchers reported that the twining was a prevalent deformation mechanism of 304 stainless steel by severe plastic deformation for the grain refinement [19], and some researchers also reported that martensitic transformation occurred in the heavily twinned microstructures [20]. Thus, the XRD qualitative analysis of phases of 304 stainless steel treated under pressure of 150, 200, and 250 MPa was conducted, as shown in Fig. 5. Because 304 stainless steel is austenitic stainless steel, the original specimen only consisted of an austenite phase after the solution treatment. The two peaks (M110 and M200), corresponding to martensite appeared after the WJP treatments, indicating that martensite phase transformation was induced by the WJP treatments.

3.2. Mechanical properties

3.2.1. Surface residual stress

The compressive residual stress is a significant characterization of surface properties, which is beneficial to improve the resistance to fatigue crack initiation. The surface residual stresses of the specimens treated under different water pressures were measured by XRD. The test principle is based on the produced residual stress in crystalline materials will lead to interplanar spacing change, and thus, it can be accessed indirectly by measuring d-spacing $sin^2 \psi$.



Fig. 3. The cross-sectional microstructures of specimens treated under: a-0 (original); b-150 MPa; c-200 MPa; d-250 MPa



Fig. 4. The surface microstructures of the specimens treated under: a - 150 MPa; b - 200 MPa; c - 250 MPa



Fig. 5. X-ray diffraction patterns of specimens treated under 150 MPa, 200 MPa, and 250 MPa

This is the most popular technique used for measuring residual stress by XRD method, where diffraction peak is determined at the high range of 2 θ angles, then the XRD system is adjusted in this angle and d-spacing of crystal planes is measured in different positions [21]. In fact, the workpiece is tilted at the different ψ angles, and d-spacing is measured in each rotation. The residual stresses were calculated after mathematical simplifications as [21]:

$$\sigma = \frac{E}{(I+v)\sin^2\psi} \left[\frac{d_{\psi} - d_0}{d_0} \right], \qquad (1)$$

where σ is residual stress, *E* is Young's modulus, *v* is Poisson's ratio, d_0 is d-spacing of stress-free crystal planes,

and d_{ψ} is *d* spacing of stressed crystal planes in ψ direction. The measured surface residual stresses result of the specimens treated under different water pressures are shown in Fig. 6.



Fig. 6. The residual surface stress of the specimens treated under different water pressure

It can be seen that the increasing pressure induces higher residual compressive stress under relatively low pressure (0 to 200 MPa), the maximum average residual compressive stress is about -357 MPa at the water pressure of 200 MPa. When the water pressure exceeds 200 MPa, the residual compressive stress exhibits a downward trend along with the increase of water pressure and decreases to the almost same residual stress value of the original material when the water pressure reaches 300 MPa. The compressive residual stresses were induced due to local plastic deformation caused by high-frequent impact of water drops on the surface of the material. When the water pressure is relatively low, the plastic deformation becomes more significant with the increase of the water pressure, leading to higher residual compressive stress. When the pressure is relatively high, an increase in jet pressure promotes growth in the jet energy available for hydrodynamic erosion. Consequently, material removal allowed near-surface stress relief of the surface layers and resulted in a reduction in the magnitude of compressive stress. This is consistent with the results reported by Arola et al. [13] that the residual compressive stress exhibits a downward trend along with the increase of water pressure using abrasive waterjet peening.

3.2.2. Hardness

The hardness depth profiles of 304 stainless steel specimens processed under different water pressures (Fig. 7 c) and corresponding indentation picture of hardness test (Fig. 7 a, b) are shown in Fig. 7. Hardness measurement was acquired on the jet impinged surface and the crosssections of the specimens at different depths starting from 50 µm beneath the jet impinged surface until a far distance of about 500 µm. In general, the hardness depth profiles for all conditions show a similar trend with the hardness decreasing gradually from the surface. It can be seen that the hardening layer may extend up to a depth of about 350 µm, the maximum depth of hardening layer is close to 400 µm at the water pressure of 200 MPa. It is worth noting that there is one exception of a specimen treated with the highest pressure of 300 MPa, where the hardness profile is nearly horizontal due to the excessive pressure can peel off the produced hardened layer. The maximum hardness was recorded to be 231, 258, 281, 251, and 210 HV0.1 at pressure of 100, 150, 200, 250, and 300 MPa, respectively, increased by 18.5, 32.3, 44.1, 28.7, and 7.7 %, in comparison with the base material (about 195 HV0.1). Based on the hardness depth profiles, the hardening layer may extend slightly thicker at the initial stage, especially in the case of the pressure of 200 MPa, but when the pressure continued to increase, a reverse effect on the subsurface hardness and hardening layer depth was found. It can be concluded that increasing the pressure would produce higher hardness as well as deeper hardening layer at the initial stage. The increase in the hardness and thickness of the hardening layer is due to higher waterjet impact force, which causes local plastic deformation. As a result, high compressive residual stresses are induced in the surfacenear layer, which leads to enhanced surface hardness. When the pressure is too high, an increase in jet pressure promotes growth in the jet energy available for hydrodynamic erosion, peeling the hardened layer off, and thus actually reduces the hardness. This is consistent with the result reported by Azhari et al. [10] that increasing the pressure would produce higher hardness and deeper hardening layer. Besides, this study indicates that the excessive water pressure will lead to the hardness and thickness of hardening layer to decrease.



Fig. 7. a – the corresponding indentation picture of hardness test of surface; b – corresponding indentation picture of hardness test of the cross-sections; c – hardness depth profiles of 304 stainless steel specimens under different water pressures

3.2.3. Tensile properties

The engineering stress-strain curves of the specimens treated under different WJP conditions tested at ambient temperature are demonstrated in Fig. 8. The tensile yield strength (TYS) of specimens after WJP at the pressure of 0 (original), 100, 150, 200, 250, and 300 MPa are 212, 237, 252, 255, 241, and 205 MPa, respectively. The corresponding ultimate tensile strength (UTS) of these specimens are 784, 835, 842, 848, 808, and 715 MPa. And the corresponding elongations to fracture (Ef) of these specimens are 66, 69, 71, 72, 71, and 64 %. That is, the WJP

makes the TYS, UTS, and Ef of the consistent material trend, all of them show the trend of first increase than reduce with water pressure. The maximum TYS, UTS, and Ef are 255 MPa, 848 MPa, and 72 %, respectively, at the pressure of 200 MPa, increased by 20.2 %, 8.2 %, and 9.1 %, in comparison with the untreated specimen. At the same time, when the pressure is 300 MPa, the TYS, UTS, and Ef of the specimen drop to the minimum of 205 MPa, 715 MPa, and 64 %, respectively, which is lower than that of the untreated specimen.



Fig. 8. The engineering stress-strain curves of the specimens under different WJP conditions at ambient temperature

Combing with the compressive residual stresses and hardness results above, with the high-frequent impact of water drops on the surface of the material, causing local plastic deformation. As a result, compressive residual stress is induced in the surface-near layer, which leads to enhanced surface hardness. The improved hardness can improve the mechanical properties of materials by improving the resistance to plastic deformation. At the same time, the residual compressive stresses not only offset or reduce applied tensile stresses or slow crack growth but also transfer the maximum tensile stress from the surface to the subsurface, which results in the crack initiation source is transferred to some defective areas of the subsurface [12, 22]. As the magnitude of the compressive stress and the hardness increase, so usually does the resistance to crack.

A large number of studies have shown that the strength and plasticity of the material are closely related to the grain size of the material, the smaller the grain size, the higher the strength of the material [23, 24]. On the one hand, grain refinement is equivalent to enlarging the grain boundary area, and the grain boundary is an obstacle to dislocation movement. Therefore, the smaller the grain size and the more grain boundary, the higher the hindrance to the dislocation movement and the higher the strength of the material. Meantime, grain refinement increases the number of grains per unit volume. The same deformation can be dispersed to more grains, resulting in a more uniform deformation without causing excessive local stress concentration, causing premature initiation of the crack with the extension. On the other hand, grain refinement reduces the size of defects in the material, thereby improving the overall performance of the material.

However, when the pressure is too high, the strength and plasticity of the material tend to decrease with an increase in jet pressure, it can be anticipated that bombardment of the surface with the WJP often leads to many cracks or flaws due to the rise of roughness, which may outweigh the beneficial enhancement effect. Thus, the strengthening of material should be taken by optimized conditions of WJP.

4. CONCLUSIONS

WJP treatments under different water pressures were utilized to improve the mechanical properties of 304 stainless steel. According to the combined analyses of surface morphologies, microstructures, phases, and mechanical properties results, some conclusions can be drawn as follows:

- 1. The grain refinement and martensitic transformation were observed after WJP treatments. Hardening layer and residual compressive stress were successfully introduced by WJP treatments. The smallest grain size decreasing by 90.7 %, and the highest increase of hardness up to 44.1 %, as compared to that of the base material. The deepest hardening layer up to a depth of about 400 μ m and the maximum average residual compressive stress is -357 MPa. All the optimal data were recorded at the pressure of 200 MPa.
- 2. The room temperature tensile test shows that the reasonable WJP process can improve the tensile properties of 304 stainless steel. The optimal yield strength, tensile strength, and elongation are 255 MPa, 848 MPa, and 72 %, respectively, at the pressure of 200 MPa, increased by 20.2 %, 8.2 %, and 9.1 %, in comparison with the untreated specimen.
- 3. The improved hardness, tensile strength, and ductility of 304 stainless steel treated with WJP treatments at the pressure of 200 MPa can be attributed to the hardening layer with much noticeable grain refinement effect, phase transformation, smaller surface roughness, and a specific residual compressive stress.

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