Luminescence of Tm³⁺, Yb³⁺ Co-doped CaLaAlO₄/LaAlO₃ Mixed Phase Phosphor for Solid-state Lighting Application

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CaLaAlO₄/LaAlO₃: 0.5%Tm³⁺, xYb³⁺ upconversion phosphors were prepared via the combustion method. The Tm³⁺ dopant concentration was constant (Tm=0.5mol%), while the concentration of Yb³⁺ co-dopant was varied (Yb = 1 – 10 mol%). The X-ray diffraction studies indicated a mixture of phases (tetragonal and hexagonal of CaLaAlO₄ and LaAlO₃ respectively). The optical absorption spectra of the upconversion phosphors have two absorption bands centered at 253 nm, and another band at 440 nm. The band at 253 nm is ascribed to the charge transfer band (CTB) between the ligand (O²⁻) and Yb³⁺ ions, while the broad band located at 440 nm was related to defect states in the lattice. The energy bandgap and refractive index of the optimized phosphor were 4.73 eV and 1.76 respectively. The upconversion emission peaks centered at 478 nm (¹G₄ \rightarrow ³H₆), 654 nm (¹G₄ \rightarrow ³F₄), and 801 nm (³H₄ \rightarrow ³H₆) are associated to the electronic transitions of Tm³⁺ ions. As the Yb concentration increases, the colour emission is tuned from bluish white to blue light. The CCT and CIE coordinate of (0.2419, 0.2463) showed that the phosphor doped with 10 mol% of Yb³⁺ produces a bluish-white and its colour purity was 80%. Thus, the strong bluish-white light emission produced by the CaLaAlO₄/LaAlO₃: 0.5%Tm³⁺, xYb³⁺ phosphors could be used for solid-state lighting (SSL) or in multicolour displays. *Keywords:* photoluminescence, up-conversion, bluish-white, phosphor, combustion synthesis.

1. INTRODUCTION

In the last century, many researchers have utilized rare earths (REs) in different host lattices as luminescent centers [1-3]. The inorganic luminescence material (phosphors) produced by doping with REs features a variety of electronic transitions within the 4f configuration [4]. These upconversion luminescence (UCL) materials find their applications in solid-state lighting (SSL) devices (light emitting diodes, luminescence lamps), plasma display panels, biological imaging, lasers, anticounterfeit, and solar cells [5, 6]. In comparison with traditional luminescence materials, UCL has low energy consumption, a long lifetime, and low excitation energy [7]. The RE-doped UCL materials can convert two or more low energy photons into one photon with higher energy in the visible region. Among the REs, Tm^{3+} , Er^{3+} , Eu^{3+} , Yb^{3+} , Ce^{3+} , and Dy^{3+} have been intensively researched [8-11]. Tm³⁺ ion is an efficient UCL center that has a narrow-line emission covering blue, red and NIR regions [12]. However, the luminescence efficiency of Tm³⁺ single doped host material is low [13]. This is because Tm³⁺ ions have many relaxation paths at the excited state. To enhance the UCL efficiency. Tm³⁺ ions can be co-doped with a sensitizer such as Yb³⁺ due to their closely matched intermediate-excited state [4]. Additionally, the absorption energy of Yb^{3+} matched well with the commonly used 980 nm laser diode for UCL [14]. Yb^{3+} is a good sensitizer that transfers the energy it absorbed to the activator [6, 7].

The host matrix has much influence on the luminescence properties of the dopants [5]. This is because it provides a platform for the energy transfer mechanism [7]. In this regard, efforts have been made to formulate a suitable host matrix that can effectively accommodate this configuration for efficient luminescence properties. Tm³⁺/Yb³⁺ has been incorporated in different host matrices such as BaLaAlO₄ [15], LaAlO₃(16) Y₂O₃ [8] Na₂Y₂B₂O₇ [17], La₂O₃-TiO₂-ZrO₂ [18], SrLaAlO₄ [19], ZrTi_{1.4}O₄ [20], Ga_2O_3 -Bi₂O₃-PbO [21] and $K_2Gd(PO_4)(WO_4)$ [12] phosphors for blue illumination. Also, these co-dopants are good and efficient luminescent centers with enhanced colour purity for SSL. CaLaAlO₄ phosphor has been scarcely researched. It belongs to a perovskite structure family ABCO₄, where A is a divalent cation, B and C are REs and transition metals, respectively [22]. This host matrix doped with REs provides excellent chemical and structural stability with efficient luminescent properties.

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In this work, we reported the mixture of phases material CaLaAlO₄/LaAlO₃: 0.5 %Tm³⁺, xYb³⁺ phosphors powders for the first time, at varying concentrations of Yb co-dopant concentration. The optical absorbance and energy bandgap of the pure and CaLaAlO₄/LaAlO₃: 0.5%Tm³⁺, Yb³⁺ phosphor experimentally has also been determined for the first time. The UCL properties have been investigated in detail. It is found that the bluish-white emitting phosphor will have a potential application in SSL technology.

2. EXPERIMENTAL DETAILS

2.1. Synthesis of CaLaAlO₄-LaAlO₃: 0.5%Tm³⁺, xYb³⁺ phosphors

CaLaAlO₄/LaAlO₃: 0.5 %Tm³⁺, xYb³⁺ phosphors were prepared through a simple solution combustion method. The materials used were calcium nitrate tetrahydrate [Ca(NO₃)₂ 4H₂O (99.0 %)], lanthanum nitrate hexahydrate [La(NO₃)₃ 6H₂O (99.9 %)], aluminium nitrate nonahydrate [Al(NO₃)₃ 9H₂O (99.999 %)], urea [CO(NH₂)₂ (99.5 %)], thulium nitrate pentahydrate [Tm(NO₃)₃ 5H₂O (99.9 %)] and ytterbium nitrate pentahydrate [Yb(NO₃)₃ 5H₂O (99.999 %)]. The reagents used were of analytical grade. To prepare the phosphors, the stoichiometric amounts of all the oxidants together with urea were dissolved in double deionized (DI) water and a magnetic stirrer was used to stir the solution for about 30 minutes. After which the entire mixture was transferred into the heating furnace at 620 °C. During the heating process, the oxidants react explosively with an organic fuel (urea), and inflammable gases such as CO₂ NH₃ and NO₂ are produced [23], leaving behind the fluffy product.

The fluffy product (As-synthesized) formed was ground in an agar mortar into a fine powder and pressed into pellets. The pellets were then annealed at 1080 °C for 6 hours in air to obtain a crystalline phosphor. Throughout the synthesis, the amount of Tm was fixed (Tm = 0.5 mol%), while the Yb amount was varied (x = 0, 1, 3, 5, 7, and 10 mol%). The obtained samples were ground, packaged, and labelled as CLAO, CLAO 0, CLAO 3, CLAO 5, CLAO 7 and CLAO 10 respectively. The co-doped samples such as CLAO: Tm, Yb phosphors were named as CaLaAlO₄/LaAlO₃: 0.5 %Tm³⁺, xYb³⁺.

2.2. Characterization of CaLaAlO₄/LaAlO₃: 0.5%Tm³⁺, xYb³⁺ phosphors

The crystalline phase of the synthesized CLAO: Tm, Yb phosphors was identified by using X-ray diffraction. We use Cu_K α radiation ($\lambda = 1.5406$ Å) on an X-ray diffractometer (Bruker, Advance D8), and the data were collected over the 2 θ angle in the range of 20° – 80° with the scanning speed of 2°/min. Scanning electron microscopy (SEM) was employed to analyse the morphological composition of the phosphors (JEOL ARM200F, Peabody, MA, USA). Fourier Transform Infrared (FTIR) data was obtained by IRTracer-100, which was used to identify the IR absorptions in the phosphors. The optical absorbance spectra of the pure and CLAO: Tm, Yb phosphors were obtained using a UV-Vis-NIR Spectrophotometer (Cary-5000 Agilent) in the wavelength range of 200–800 nm. The Up-conversion Luminescence (UCL) properties were measured at room

temperature using an ocean optic Spectrometer USB 2000 under 980 nm laser diode excitation. All measurements were acquired at room temperature.

3. RESULTS AND DISCUSSION

3.1. Structural analysis and morphological characterization of CLAO: Tm, Yb

The crystallinity and structural effect of the co-dopants on the CLAO host matrix were investigated by applying XRD. Fig. 1 a presents the X-ray diffraction patterns of undoped and CLAO: Tm, Yb phosphors.



Fig. 1. a – The XRD pattern of CLAO: Tm, Yb; b – percentage of phase content in the samples as a function of Yb mol % co-dopant concentration

The diffraction peaks exhibit a mixed phase containing the tetragonal CaLaAlO₄ and the hexagonal LaAlO₃ structures. The peaks observed at 2 θ values 24.968°, 32.422°, 34.055°, 37.282°, 45.266°, 48.962°, and 59.765° correspond to (101), (103), (110), (112), (114), (200), and (008) planes. Those are associated with the CaLaAlO₄ tetragonal phase according to the JCPDS card no. 24-0206. The patterns of the secondary phase showed reflections near 2 θ angles of 23.457°, 33.402°, 41.284°, 48.023°, and 54.170°, which matched with the (012), (110), (006), (024), and (116) planes. Those are associated with the hexagonal LaAlO₃ perovskite according to the JCPDS No. 31-0022 card. The reason for the mixed phase in the phosphors could be the incomplete reactions of initial compounds and annealing time and temperature [24]. The peaks associated with the LaAlO₃ phase show a lower intensity with the Yb³⁺ concentration [11]. An impurity phase belonging to CaO was also observed (marked with an asterisk "*").

The crystallite sizes of the phosphor (tetragonal and hexagonal phases) were determined by taking the averages of the prominent and most symmetric peaks of each phase. The Debye Scherer's equation was employed (2).

$$D_{hkl} = \frac{k\lambda}{\beta_{hkl}\cos\theta_{hkl}},\tag{2}$$

where D_{hkl} is the average crystallite size; λ symbolizes the Cu_Ka radiation wavelength (1.5406 Å); θ_{hkl} is the Bragg's angle (in radians); K refers to the shape factor (k = 0.9); β_{hkl} is the FWHM in radians. The calculated average crystallite sizes for the tetragonal phase are in the range of 20 to 27 nm, while those of the hexagonal phase are in the range of 20 to 25 nm. The percentage phase content (tetragonal CaLaAlO₄ and hexagonal LaAlO₃) was determined and presented in Fig. 1 b. As the Yb content increases, the CaLaAlO₄ phase becomes prominent, while that of LaAlO₃ gradually decreases. This result agrees well with the XRD pattern of the synthesized samples. It can be seen from the XRD pattern that, the increase in Yb content stabilizes the CaLaAlO₄ phase, whereas a decrease in the intensity of the LaAlO3 perovskite phase was observed. This result suggests that more of the Tm3+/Yb3+ ions preferentially occupy the CaLaAlO₄ phase than the LaAlO₃ perovskite structure, resulting to the decrease in the intensity of the hexagonal phase.

The site occupancy of Tm^{3+} and Yb^{3+} ions in the host crystal is determined by the ionic radii and the valence state [22]. Considering the charge similarity, Tm^{3+} and Yb^{3+} ions would prefer to occupy the La³⁺ site instead of the Ca²⁺ site. Therefore, the site occupancy can be determined using the radius percentage (R_r):

$$R_r = \frac{R_h(CN) - R_d(CN)}{R_h(CN)} \times 100\%,$$
(3)

where $R_h(CN)$ is the ionic radius of the host, while $R_d(CN)$ is the radius of the dopant, CN refers to the coordination number. For the tetragonal phase, $R_d(CN)$ in 8-coordination Tm^{3+} (1.052 Å) and Yb^{3+} (1.042 Å) substituted in the host cation La³⁺(1.216 Å) [25]. Therefore, the value of R_r between the Tm³⁺ in the La³⁺ ions was determined as 13.49 %. On the other hand, the estimated value of $R_{\rm r}$ between the Yb³⁺ in La³⁺ cation site is 14.31 %. It is reported that the value of R_r should not be more than 15 % [19], therefore, Yb³⁺ and Tm³⁺ will conveniently be substituted in the La^{3+} cation site in the CaLaAlO₄ phase. Now, to estimate the site occupancy of Tm^{3+} (CN = 6; $R_{\text{Tm}} = 0.880 \text{ Å}$) and Yb³⁺ (CN = 6; $R_{Yb} = 0.868$ Å) ions in the hexagonal perovskite structure. We consider the possible substitution of Tm and Yb in the La³⁺ (CN = 6; R_{La} = 1.032 Å) site. The estimated value of R_r of Tm³⁺ and Yb³⁺ in the La³⁺ cation site is 14.7 % and 15.9 % respectively. The percentage of Yb³⁺ in the La³⁺ cation site is slightly above the threshold value of 15 %. Many researchers consider 30 % as the threshold of the dopant substituting the host [26].

Fig. 2 represents the SEM micrographs of the synthesized samples at different Yb concentrations. The morphological composition, crystallinity and grain size of phosphor have much influence on the the photoluminescence. We also observed that the obtained particles showed agglomerated, have irregular shape and size distribution. There is no clear difference between the images. Most of the particles coalesce appearing to be agglomerate. The irregular size of the particles could be the uneven distribution of temperature during combustion.

3.2. FTIR analysis of the CaLaAlO₄/LaAlO₃: 0.5 %Tm³⁺, Yb³⁺ phosphors

In Fig. 3 a, we showcase the FTIR spectrum of the assynthesized material, representing the powder before annealing treatment for the CLAO sample. Notable absorption peaks at $3610 \text{ cm}^{-1} 2170 \text{ cm}^{-1}$, 2029 cm^{-1} , 1415 cm^{-1} , 869 cm^{-1} and 724 cm^{-1} are observed, which are attributed respectively, to the vibrations OH-, C-C bond, N-O bond, and M-O bonds (with M = Al, La) [27–30].



Fig. 2. The SEM micrographs: a-CLAO 0; b-CLAO 1; c-CLAO 3; d-CLAO 5; e-CLAO 7; f-CLAO 10 phosphors samples

Fig. 3 b shows the FTIR spectra of undoped and CLAO: Tm, Yb phosphors recorded in the range of $400 \text{ cm}^{-1}-4000 \text{ cm}^{-1}$. The FTIR is useful in determining the quenching centers of photoluminescence in phosphor materials.

It is worthy to note that the hydroxyl group enhances the non-radiative phenomenon in the upconversion luminescent materials. The sharp IR band at 3645 cm⁻¹ is ascribed to the hydroxyl group (OH-). This mode of vibration indicates the presence of water molecules in the samples. The hydroxyl group could quench the photoluminescence and reduce the emission efficiency of the phosphor [27]. The IR bands observed at 2029 cm⁻¹ and 2170 cm⁻¹ belong to the C-C bond. The broad peak observed at 1447 cm⁻¹ belongs to the N-O vibration mode [28]. With the increment in Yb³⁺ contents, this band is shifted by 27 cm⁻¹. The IR absorption band observed at 443 cm⁻¹ is due to the stretching and bending vibration of the Al-O bond in AlO₆ octahedron coordination. While the bands at 671, 717 and 878 cm⁻¹ are assigned to the stretching vibrations of the La-O bond in the LaO₁₂ dodecahedron [29]. The infrared absorption bands between 1000 cm⁻¹ and 400 cm⁻¹ belong to the metal-oxygen bond deformation mode [30]. These absorption bands are present in all the CaLaAlO₄/LaAlO₃ annealed samples.



Fig. 3. a-FTIR spectrum of the as-synthesized sample CLAO before the annealing treatment; b-FTIR spectra of the CLAO pure (without co-dopants) and CaLaAlO₄/LaAlO₃: 0.5%Tm³⁺, xYb³⁺ phosphors

3.2. Absorbance and optical bandgap of the pure and CaLaAlO₄/LaAlO₃: 0.5%Tm³⁺, Yb³⁺ phosphors

Fig. 4 a presents the absorption spectra of pure and CLAO: Tm, Yb phosphors. We observed two absorption bands, one located at 253 nm and another at 440 nm.



Fig. 4. a-Absorbance spectra of CLAO and CLAO: Tm, Yb phosphors; b-Tauc plot curves for the calculation of optical bandgap of pure CLAO and CLAO: Tm, Yb phosphors

The band at 440 nm is the broadband ascribed to defect states in the lattice [31]. The absorption peak at 253 nm is observed only in Yb-doped samples and can be ascribed to the charge transfer (CT) band between Yb^{3+} ions and the ligand O^{2-} atom (Yb – O) [32, 33]. Since the band is not broad, it means the change in the distance of Yb^{3+} ion and the ligand between the conduction band and the excited state is small, thus, the intermediate-coupling scheme was observed [34]. The optical bandgap for the phosphor was determined using Tauc's equation:

$$\alpha h v = A \big(h v - E_g \big)^n, \tag{4}$$

where A is called the proportionality constant; hv and E_g refers to energies of the photon and bandgap, respectively, α refers to the absorption coefficient. The exponential value 'n' is the index which stands for direct allowed $(n = \frac{1}{2})$, indirect allowed (n = 2), direct forbidden $\left(n = \frac{3}{2}\right)$ and indirect forbidden (n = 3) transitions, respectively. To determine the energy bandgap, a plot of $(\alpha hv)^{\frac{1}{n}}$ versus (hv)

was done, and the linear fit was extrapolated to the $(\alpha hv)^2 = 0$ on the energy axis see Fig. 4 b. The value of 'n' was determined by testing for direct and indirect allowed transitions. It was observed that, the increase in Yb concentration, decreases the energy bandgap of the material. The estimated energy bandgap for a pure sample and the CLAO: Tm, Yb phosphors decreases between 5.23 eV and 4.73 eV. This is evident that Yb³⁺ ions are substituted well in the host. The bandgap values indicate that the phosphor is an insulating material. To determine the refractive index of the phosphor, we used the relation [19]:

$$\frac{n^2 - 1}{n^2 + 1} = 1 - \sqrt{\frac{\varepsilon_g}{20}},\tag{5}$$

where *n* is called the refractive index and ε_g is the optical bandgap of the phosphor. The refractive index was determined to be 1.706, 1.717, 1.719, 1.724, 1.732, 1.734, and 1.764 corresponding to CLAO, CLAO 0, CLAO 1, CLAO 3, CLAO 5, CLAO 7, and CLAO 10, respectively. We also noticed that n and Yb³⁺ concentration increases correspondingly. This increment enhances the photoluminescence properties [19].

3.3. Photoluminescence properties of CaLaAlO₄/LaAlO₃: 0.5%Tm³⁺, xYb³⁺ phosphor

To investigate the luminescence properties of CLAO: Tm, Yb phosphor, the upconversion luminescence was performed. Fig. 5 a presents the upconversion emission spectra of CLAO: Tm, Yb phosphors, in the wavelength range of 400 nm to 900 nm. The spectra showed three emission bands centred at 478 nm (blue), 654 nm (red), and 801 nm (NIR). These emission peaks can be attributed to the ${}^{1}G_{4} \rightarrow {}^{3}H_{6}$, ${}^{1}G_{4} \rightarrow {}^{3}F_{4}$, and ${}^{3}H_{4} \rightarrow {}^{3}H_{6}$ electronic transitions of Tm³⁺ ions, respectively [15, 19, 35]. It was observed that, the intensity of CLAO: Tm, Yb phosphor was very low both in the visible and the infrared region. To optimize the UC emission intensity, the Yb3+ concentration was varied (CLAO 0 to CLAO 10). From the spectra, we observed that as the concentrations of Yb increase (CLAO 0 to CLAO 7), the emission at the near-infrared region appears most intense followed by blue and then red emission in that order. At CLAO 10, the intensity of blue emission (478 nm) becomes prominent, whereas the red emission (654 nm) maintains its weak intensity value with an increasing trend. Grigorjevaite and Katelnikovas [12] observed a similar behaviour at varying Tm³⁺ ion contents. In general, increasing Yb³⁺ contents favor the UCL both in the visible and near infrared regions. It is worthy to note that quenching does not occur in the host crystals. This proves that quenching is solely dependent on the host matrix, the amount of dopants, and elevated temperature. Yang et al observed quenching at 20 mol% of Yb in Yb:YAG [36]. Similarly, BaLaAlO4: Tm^{3+} , Yb^{3+} observed quenching at 6 mol% concentration of Yb^{3+} ions [15]). The prominent peak observed at 478 nm (blue) is due to energy transfer from the Yb³⁺ to Tm³⁺ ions [17]. The inset in Fig. 5 a shows the photograph of UC blue emission at CLAO 10. The percentage contributions of blue and red emissions were also calculated. The percentage contribution of blue emissions in the visible region ranges between 2.73 % and 39.75 % to the overall emissions.

While the red emission has 2.43 % to 15.68 % contribution in the visible region. The effect of the sensitizer on the luminescence process was also studied, and a plot of the intensity against the Yb³⁺ ion concentration is presented in Fig. 5 b. As the concentration of Yb³⁺ increases, the emission intensity increases progressively. The continuous increase in the emission intensity can be attributed to the enhancement in the luminescence process.



Fig. 5. a – The UCL emission spectra of CLAO: Tm, Yb phosphor; b – the plot of emission intensity versus Yb co-dopant concentrations

3.4. Commission internationale de l'éclairage (CIE) and colour map

Fig. 6 presents the CIE diagram obtained from UCL emission for the CLAO: Tm, Yb phosphor samples. The colour coordinates (CIE 1931) excited under a 980 nm laser diode are dependent on Yb³⁺ ion concentrations. The CIE values fall within the bluish white region, but gradually shift towards the blue region as the concentration of Yb increases. Thus, the prepared phosphor emits an efficient tunable emission from bluish white to blue light. The color emission is tuned toward the blue region due to the low contribution of red emission (16 % contribution to the overall visible emission). The CCT values are used to determine the light quality. It is established that the CCT values above 4200 K fall within the cool light region [37]. Then, we calculated the CCT values using the Mc Cammy equation [10]:

$$CCT = -437n^3 + 3601n^2 - 6861n + 5514.31,$$
 (6)

where $n = (x-x_c)/(y-y_c)$, whereas $x_c = 0.332$ and $y_c = 0.186$ is the epicentre of iso-temperature. The calculated values of the CIE(x,y) chromaticity coordinates obtained from the CIE calculator at varying amounts of Yb³⁺ ions are presented in Table 1. It was observed that the values obtained from CCT are within the cool light source [10]. The color purity of the synthesized samples was also determined using the dominant wavelength (478 nm). The increase in Yb concentration increases the colour purity of the samples. The best colour purity for the sample was obtained as 79.76 % (see Table 1) as compared to the other Yb³⁺ doping concentrations. The high value of the colour purity means that the phosphor could be considered as an important component for white light emission diodes (WLED).



Fig. 6. CIE map for the CLAO: Tm, Yb phosphors under IR (980 nm) excitation

Table 1. The IR	CIE coordinate excitation (980	es for CL nm)	AO: Tm,	Yb phosp	hors under

Sample	CIE Coordinates		CCT V	CD 0/
	Х	Y	CCI, K	CP, 70
CLAO0	0.332316	0.333195	5278	9.25
CLAO1	0.330580	0.331596	5353	40.07
CLAO3	0.324838	0.326425	5618	57.70
CLAO5	0.306352	0.308266	6738	73.02
CLAO7	0.296201	0.298091	7605	74.46
CLAO10	0.241869	0.246335	22344	79.76

4. CONCLUSIONS

In summary, we have successfully synthesized Yb, Tm co-doped CaLaAlO₄/LaAlO₃ phosphor via solution combustion method and post annealing. The XRD results showed a mixture phase containing the tetragonal structure of CaLaAlO₄ and the hexagonal perovskite LaAlO₃ phase. The SEM micrographs showed agglomerated particles with irregular shape. The UCL properties of the phosphors were studied in the wavelength range of 400 nm to 900 nm at room temperature. The synthesized phosphor showed emission bands both in the visible and in NIR regions. A blue emission (478 nm) and NIR emission (801 nm) were observed to be intense, also a weak red emission (654 nm) of Tm³⁺ was also observed. The CCT and CIE coordinates (0.2419, 0.2463) showed that CLAO 10 (Yb = 10 mol%) produces a blue light (see, inset in Fig. 5 a) and its colour purity was 80 %. Thus, the strong bluish-white light emission produced by the CLAO: Tm, Yb phosphors could

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